



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 :  
A61K 9/16, 9/10

A1

(11) International Publication Number:

WO 96/12474

(43) International Publication Date:

2 May 1996 (02.05.96)

(21) International Application Number:

PCT/US95/13528

(22) International Filing Date:

16 October 1995 (16.10.95)

(30) Priority Data:

335,147

438,644

25 October 1994 (25.10.94)

10 May 1995 (10.05.95)

US

US

(60) Parent Application or Grant

(63) Related by Continuation

US

Filed on

Not furnished (CON)

Not furnished

(71) Applicant (for all designated States except US): EMISPHERE  
TECHNOLOGIES, INC. [US/US]; 15 Skyline Drive,  
Hawthorne, NY 10532 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): SANTIAGO, Noemi, B.  
[US/US]; 536 Commerce Street, Hawthorne, NY 10532  
(US). HAAS, Susan [US/US]; 19 Darby Road, Monsey, NY  
10952 (US). LEONE-BAY, Andrea [US/US]; 20 Woodland  
Way, Ridgefield, CT 06877 (US).(74) Agents: ROBINSON, Joseph, R. et al.; Darby & Darby P.C.,  
805 Third Avenue, New York, NY 10022 (US).(81) Designated States: AL, AM, AT, AU, BB, BG, BR, BY, CA,  
CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP,  
KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG,  
MK, MN, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI,  
SK, TJ, TM, TT, UA, US, UZ, VN, European patent (AT,  
BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL,  
PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN,  
ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD,  
SZ, UG).

Published

With international search report.  
Before the expiration of the time limit for amending the  
claims and to be republished in the event of the receipt of  
amendments.

BEST AVAILABLE COPY

(54) Title: COMPOSITIONS FOR THE DELIVERY OF ANTIGENS

(57) Abstract

The present invention relates to compositions and methods for orally delivering antigens. The antigen and an adjuvant are combined with an acylated amino acid or polyamino acid and, a sulfonated amino acid or polyamino acid, or a salt of the foregoing.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

5

10

## **COMPOSITIONS FOR THE DELIVERY OF ANTIGENS**

### **FIELD OF THE INVENTION**

The present invention relates to compositions useful for the delivery, and preferably the oral delivery, of antigens and adjuvants to animals. Methods for the preparation and for the administration of these compositions are also disclosed.

### **BACKGROUND OF THE INVENTION**

Conventional means for delivering antigens to their intended targets are often severely limited by the presence of biological, chemical, and physical barriers. Typically, these barriers are imposed by the environment through which delivery must take place, the environment of the target for delivery, or the target itself.

Oral delivery of antigens would be the route of choice for administration to animals if not for physical barriers such as the mucous layer and the epithelial cells of the gastrointestinal (GI) tract. Oral delivery is also impeded by chemical barriers such as the pH in the GI tract and the presence in the oral cavity and the GI tract of powerful digestive enzymes. Furthermore, orally administered soluble and insoluble antigens can induce a non-responsive state or tolerance.

Methods for orally administering antigens have been developed which rely on the use of either attenuated microorganisms or polylactide/polyglycolide (PLA/PGA) microspheres to increase antigen presentation to and uptake by the appropriate antigen presenting cells.

Attenuated organisms, unless properly delivered, can regain virulence, however. Additionally, broad spectrum use of PLA/PGA microspheres is not possible because these carriers require organic solvents that may alter or denature antigens. Furthermore, PLA/PGA systems are difficult to manufacture.

5 More recently, microspheres comprising artificial polymers of mixed amino acids (proteinoids) have been described for delivering biologically active agents including antigens. Santiago, et al. Pharmaceutical Res. Vol. 10, No. 8, (1993).

10 Adjuvants have been coadministered with antigens to increase the effectiveness of antigens, but adjuvants and antigen/adjuvant compositions are susceptible to the common problems of oral delivery described above.

Consequently, there is still a need in the art for simple, inexpensive, and easily prepared systems which can effectively deliver a broad range of antigens, particularly via the oral route.

15

#### SUMMARY OF THE INVENTION

The present invention provides compositions for delivering antigens. These compositions are suitable for delivery via the oral route and comprise:

20

- (a) an antigen;
- (b) an adjuvant; and
- (c) at least one carrier comprising a member selected from the group consisting of;

25

- (i) an acylated amino acid or a salt thereof;

- (ii) a polyamino acid comprising at least one acylated amino acid or a salt thereof;

- (iii) a sulfonated amino acid or a salt thereof;

30

- (iv) a polyamino acid comprising at least one sulfonated amino acid or a salt thereof; or

- (v) any combination thereof.

These compositions can be orally administered to animals to produce or prime and/or to boost an immunogenic response and to achieve immunization. When these compositions are used to boost immunogenic responses the prime can be delivered by the compositions of the present invention or other compositions.

Also contemplated are methods for preparing mixtures of microspheres of an antigen, an adjuvant, and a carrier as described above, and optionally, a dosing vehicle.

#### 10 Brief Description of the Drawings

Figure 1 is a graphic illustration of IgA response in mice dosed by oral gavage with ovalbumin (OVA) antigen, cholera toxin (CT) adjuvant, and modified amino acid carrier.

15 Figure 2 is a graphic illustration of the induction of IgG titers in mice dosed by oral gavage with OVA antigen, CT adjuvant, and cyclohexanoyl-Arg carrier and of comparison testing in mice using OVA antigen and CT adjuvant without carrier.

20 Figure 3 is a graphic illustration of IgA titers in mice dosed by oral gavage with OVA antigen, CT adjuvant and cyclohexanoyl-Arg carrier or with intraperitoneal injection of OVA antigen and CT adjuvant followed by an oral booster of OVA antigen, CT adjuvant and cyclohexanoyl-Arg carrier in comparison to IgA titers in mice dosed by oral gavage with OVA antigen and CT adjuvant without carrier.

#### 25 DETAILED DESCRIPTION OF THE INVENTION

The present invention uses readily available and inexpensive carrier starting materials and provides a cost-effective method for preparing and isolating immunogenic compositions. The present invention is simple to practice and is amenable to industrial scale-up for commercial production.

30 The compositions of the subject invention are useful for administering antigens to any animals such as birds and mammals, including, but not limited to, primates and particularly humans. The compositions elicit an immunogenic response and provide immunization.

### Antigens

Antigens suitable for use in the present invention include, but are not limited to, synthetic or naturally derived proteins and peptides, and particularly those which by themselves are unable to induce an efficient immune response or which induce tolerance; carbohydrates including, but not limited to, polysaccharides; lipopolysaccharides; and antigens isolated from biological sources such as, for example, microbes, viruses, or parasites, and subunits or extracts therefrom; or any combination thereof. Special mention is made of the antigens *Streptococcus pneumoniae*, *S. typhi* VI carbohydrate, *Hemophilus influenzae* (type B), Acellular *B. pertussis*, *Neisseria meningitidis* (A,C), *H. influenzae* (type B, Hib), *Clostridium tetani* (tetanus), *Corynebacterium diphtheriae* (diphtheria), and infectious bursal disease virus (IBDV) (attenuated and virulent).

### Adjuvants

Adjuvants suitable for use in the present invention include, but are not limited to protein carriers such as protein containing appropriate T-cell epitopes; hydrophobic antigens such as proteins with a lipid tail or antigens in oil with added MDP; polyclonal activators of T-cells such as PPD, poly A and poly U; B-cell activators such as antigen-polymerizing factors and B-cell mitogens; macrophage (APC) stimulators such as muramyl dipeptides (MDP) and derivatives thereof; and lipopolysaccharides (LPS); alternate pathway complement activators such as, for example, inulin, zymosan, endotoxin, levamisole, *C. parvum*; or any combinations thereof. Other useful adjuvants include lipoidal amines in general; polyphosphazenes; bacterial toxins such as *E-coli* heat labile enterotoxin (LT-OA), cholera or diphtheria toxin or subunits, thereof, such as, for example, cholera toxin  $\beta$ -subunit or *E-coli* heat labile enterotoxin  $\beta$ -subunit; bacterial toxoids; poly or di-saccharides; or any combination thereof such as, for example, cholera toxin and cholera toxin  $\beta$ -subunit.

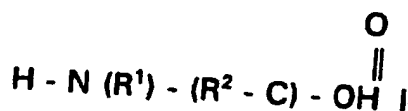
Preferred adjuvants are mucosal adjuvants.

Carriers

The carriers of the present invention are modified amino acids; polyamino acids; or peptides or salts thereof. Modified amino acids, poly amino acids, or peptides are either acylated or sulfonated and include amino acid  
 5 amides and sulfonamides.

Amino acids are the basic materials used to prepare these carriers. An amino acid is any carboxylic acid having at least one free amine group and includes naturally occurring and synthetic amino acids. The preferred amino acids for use in the present invention are  $\alpha$ -amino acids, and most preferably  
 10 are naturally occurring  $\alpha$ -amino acids. Many amino acids and amino acid esters are readily available from a number of commercial sources such as Aldrich Chemical Co. (Milwaukee, WI, USA); Sigma Chemical Co. (St. Louis, MO, USA); and Fluka Chemical Corp. (Ronkonkoma, NY, USA).

Representative, but not limiting, amino acids suitable for use in the  
 15 present invention are generally of the formula



20 wherein:  $\text{R}^1$  is hydrogen,  $\text{C}_1$ - $\text{C}_4$  alkyl, or  $\text{C}_2$ - $\text{C}_4$  alkenyl;  
 $\text{R}^2$  is  $\text{C}_1$ - $\text{C}_{24}$  alkyl,  $\text{C}_2$ - $\text{C}_{24}$  alkenyl,  $\text{C}_3$ - $\text{C}_{10}$  cycloalkyl,  $\text{C}_3$ - $\text{C}_{10}$  cycloalkenyl, phenyl, naphthyl, ( $\text{C}_1$ - $\text{C}_{10}$  alkyl) phenyl, ( $\text{C}_2$ - $\text{C}_{10}$  alkenyl) phenyl, ( $\text{C}_1$ - $\text{C}_{10}$  alkyl) naphthyl, ( $\text{C}_2$ - $\text{C}_{10}$  alkenyl) naphthyl, phenyl ( $\text{C}_1$ - $\text{C}_{10}$  alkyl), phenyl ( $\text{C}_2$ - $\text{C}_{10}$  alkenyl),  
 25 naphthyl ( $\text{C}_1$ - $\text{C}_{10}$  alkyl), or naphthyl ( $\text{C}_2$ - $\text{C}_{10}$  alkenyl);

$\text{R}^2$  being optionally substituted with  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_2$ - $\text{C}_4$  alkenyl,  $\text{C}_1$ - $\text{C}_4$  alkoxy, -OH, -SH,  $-\text{CO}_2\text{R}^3$ ,  $\text{C}_3$ - $\text{C}_{10}$  cycloalkyl,  $\text{C}_3$ - $\text{C}_{10}$  cycloalkenyl, heterocyclic having 3-10 ring atoms wherein the hetero atom is one or more of N, O, S, or any combination thereof, aryl, ( $\text{C}_1$ - $\text{C}_{10}$  alk)aryl, aryl( $\text{C}_1$ - $\text{C}_{10}$  alkyl) or any combination thereof;  
 30

$\text{R}^2$  being optionally interrupted by oxygen, nitrogen, sulfur, or any combination thereof; and

$\text{R}^3$  is hydrogen,  $\text{C}_1$ - $\text{C}_4$  alkyl, or  $\text{C}_2$ - $\text{C}_4$  alkenyl.

The preferred naturally occurring amino acids for use in the present invention as amino acids or components of a peptide are alanine, arginine, asparagine, aspartic acid, citrulline, cysteine, cystine, glutamine, glycine, histidine, isoleucine, leucine, lysine, methionine, ornithine, phenylalanine, proline, 5 serine, threonine, tryptophan, tyrosine, valine, hydroxy proline,  $\gamma$ -carboxyglutamate, phenylglycine, or O-phosphoserine. The preferred amino acids are arginine, leucine, lysine, phenylalanine, tyrosine, tryptophan, valine, and phenylglycine.

The preferred non-naturally occurring amino acids for use in the 10 present invention are  $\beta$ -alanine,  $\alpha$ -amino butyric acid,  $\gamma$ -amino butyric acid,  $\gamma$ -(aminophenyl) butyric acid,  $\alpha$ -amino isobutyric acid, 6-aminocaproic acid, 7-amino heptanoic acid,  $\beta$ -aspartic acid, aminobenzoic acid, aminophenyl acetic acid, aminophenyl butyric acid,  $\gamma$ -glutamic acid, cysteine (ACM),  $\epsilon$ -lysine,  $\epsilon$ -lysine, methionine sulfone, norleucine, norvaline, ornithine, d-ornithine, p- 15 nitro-phenylalanine, hydroxy proline, 1,2,3,4,-tetrahydroisoquinoline-3-carboxylic acid and thioproline.

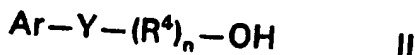
Poly amino acids are either peptides or two or more amino acids linked by a bond formed by other groups which can be linked, *e.g.*, an ester, anhydride or an anhydride linkage. One or more of the amino acids of a 20 polyamino acid or peptide may be modified. Special mention is made of non-naturally occurring poly amino acids and particularly non-naturally occurring hetero-poly amino acids, *i.e.*, of mixed amino acids.

Peptides are two or more amino acids joined by a peptide bond. Peptides can vary in length from dipeptides with two amino acids to 25 polypeptides with several hundred amino acids. See, Walker, Chambers Biological Dictionary, Cambridge, England: Chambers Cambridge, 1989, page 215. Special mention is made of non-naturally occurring peptides and particularly non-naturally occurring peptides of mixed amino acids. Special mention is also made of dipeptides, tripeptides, tetrapeptides, and pentapeptides 30 and particularly, the preferred peptides are dipeptides and tripeptides. Peptides can be homo- or hetero- peptides and can include natural amino acids, synthetic amino acids, or any combination thereof.



Acylated Amino Acid Carriers

Although the present invention encompasses any of the amino acids discussed above which have been acyclated, one group of preferred acylated amino acids have the formula



wherein Ar is a substituted or unsubstituted phenyl or naphthyl;

Y is  $\begin{array}{c} \text{O} \\ \parallel \\ -\text{C}- \end{array}$ , R<sup>4</sup> has the formula  $-\text{N}(\text{R}^6)-\text{R}^5-\begin{array}{c} \text{O} \\ \parallel \\ -\text{C}- \end{array}$ , wherein:

R<sup>5</sup> is C<sub>1</sub> to C<sub>24</sub> alkyl, C<sub>1</sub> to C<sub>24</sub> alkenyl, phenyl, naphthyl, (C<sub>1</sub> to C<sub>10</sub> alkyl) phenyl, (C<sub>1</sub> to C<sub>10</sub> alkenyl) phenyl, (C<sub>1</sub> to C<sub>10</sub> alkyl) naphthyl, (C<sub>1</sub> to C<sub>10</sub> alkenyl) naphthyl, phenyl (C<sub>1</sub> to C<sub>10</sub> alkyl), phenyl (C<sub>1</sub> to C<sub>10</sub> alkenyl), naphthyl (C<sub>1</sub> to C<sub>10</sub> alkyl) and naphthyl (C<sub>1</sub> to C<sub>10</sub> alkenyl);

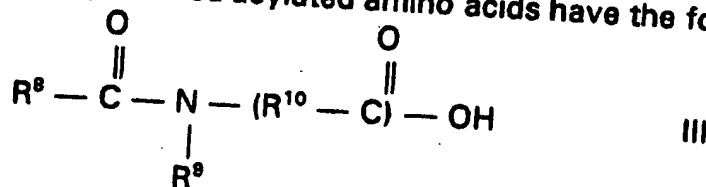
R<sup>5</sup> is optionally substituted with C<sub>1</sub> to C<sub>4</sub> alkyl, C<sub>1</sub> to C<sub>4</sub> alkenyl, C<sub>1</sub> to C<sub>4</sub> alkoxy, -OH, -SH and -CO<sub>2</sub>R<sup>7</sup>, cycloalkyl, cycloalkenyl, heterocyclic alkyl, alkaryl, heteroaryl, heteroalkaryl, halogens, or any combination thereof;

R<sup>7</sup> is hydrogen, C<sub>1</sub> to C<sub>4</sub> alkyl or C<sub>1</sub> to C<sub>4</sub> alkenyl;

R<sup>5</sup> is optionally interrupted by oxygen, nitrogen, sulfur or any combination thereof; and

R<sup>6</sup> is hydrogen, C<sub>1</sub> to C<sub>4</sub> alkyl or C<sub>1</sub> to C<sub>4</sub> alkenyl.

Another group of preferred acylated amino acids have the formula



wherein: R<sup>8</sup> is (i) C<sub>3</sub>-C<sub>10</sub> cycloalkyl, optionally substituted with C<sub>1</sub>-C<sub>7</sub> alkyl, C<sub>2</sub>-C<sub>7</sub> alkenyl, C<sub>1</sub>-C<sub>7</sub> alkoxy, hydroxy, phenyl, phenoxy or -CO<sub>2</sub>R<sup>11</sup>, wherein R<sup>11</sup> is hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, or C<sub>2</sub>-C<sub>4</sub> alkenyl; or

(ii) C<sub>1</sub>-C<sub>6</sub> alkyl substituted with C<sub>3</sub>-C<sub>10</sub> cycloalkyl;

R<sup>9</sup> is hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, or C<sub>2</sub>-C<sub>4</sub> alkenyl;

$R^{10}$  is  $C_1$ - $C_{24}$  alkyl,  $C_2$ - $C_{24}$  alkenyl,  $C_3$ - $C_{10}$  cycloalkyl,  $C_3$ - $C_{10}$  cycloalkenyl, phenyl, naphthyl, ( $C_1$ - $C_{10}$  alkyl) phenyl, ( $C_2$ - $C_{10}$  alkenyl) phenyl, ( $C_1$ - $C_{10}$  alkyl) naphthyl, ( $C_2$ - $C_{10}$  alkenyl) naphthyl, phenyl ( $C_1$ - $C_{10}$  alkyl), phenyl ( $C_2$ - $C_{10}$  alkenyl), naphthyl ( $C_1$ - $C_{10}$  alkyl) or naphthyl ( $C_2$ - $C_{10}$  alkenyl);

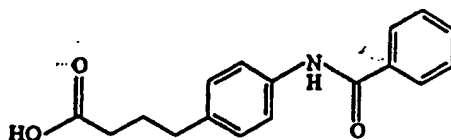
5  $R^{10}$  being optionally substituted with  $C_1$ - $C_4$  alkyl,  $C_2$ - $C_4$  alkenyl,  $C_1$ - $C_4$  alkoxy, -OH, -SH,  $-CO_2R^{12}$ ,  $C_3$ - $C_{10}$  cycloalkyl,  $C_3$ - $C_{10}$  cycloalkenyl, heterocyclic having 3-10 ring atoms wherein the hetero atom is one or more of N, O, S or any combination thereof, aryl, ( $C_1$ - $C_{10}$  alk)aryl, aryl( $C_1$ - $C_{10}$  alkyl), halogens, or any combination thereof;

10  $R^{10}$  being optionally interrupted by oxygen, nitrogen, sulfur, or any combination thereof; and

$R^{12}$  is hydrogen,  $C_1$ - $C_4$  alkyl, or  $C_2$ - $C_4$  alkenyl.

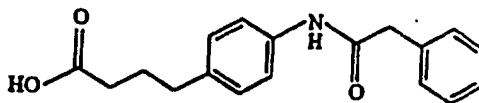
Special mention is made of salicyloyl phenylalanine, and the compounds having the formulas:

15



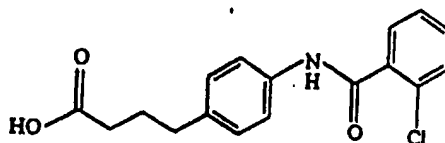
IV

20



V

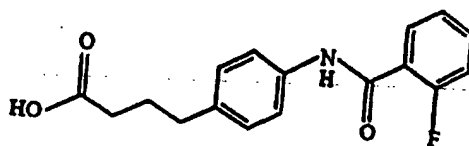
25



VI

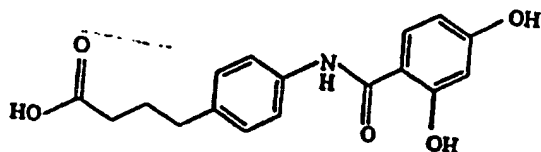
30

5



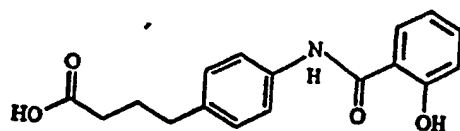
VII

10



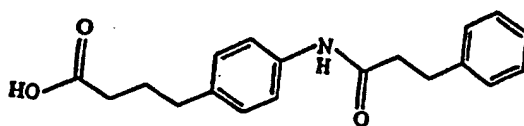
VIII

15



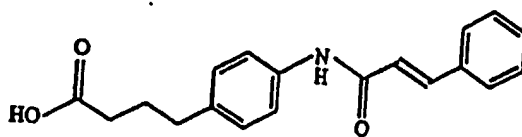
IX

20



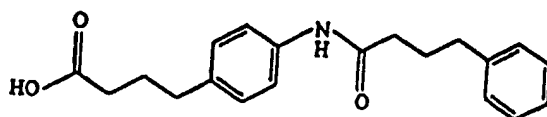
X

25



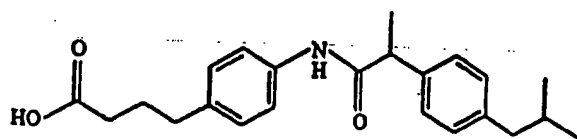
XI

30



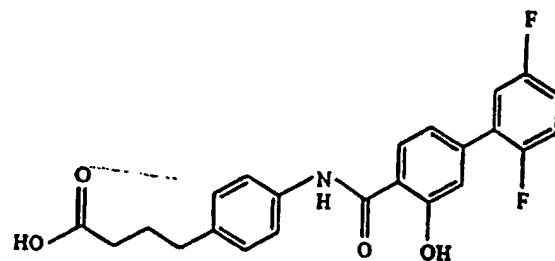
XII

5



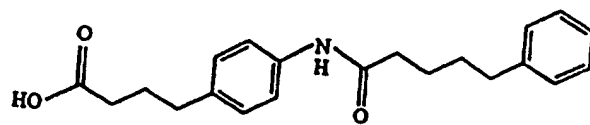
XIII

10



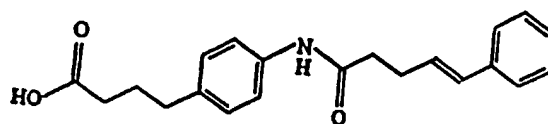
XIV

15



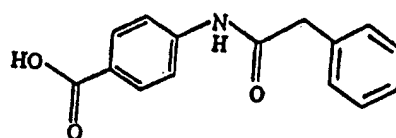
XV

20



XVI

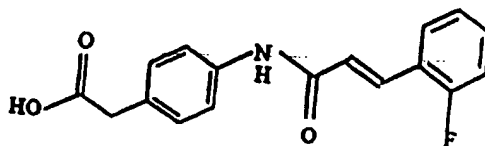
25



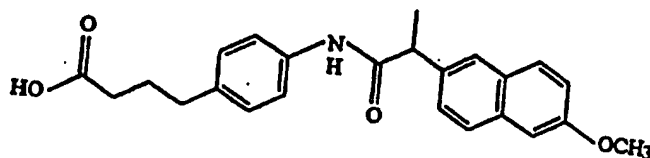
XVII

30

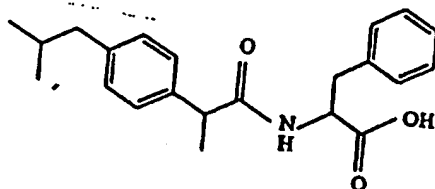
XVIII



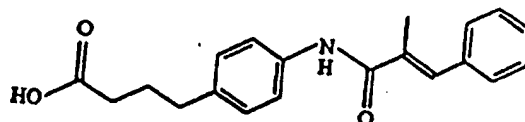
XIX



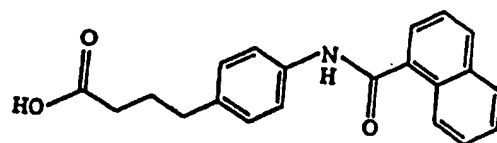
XX



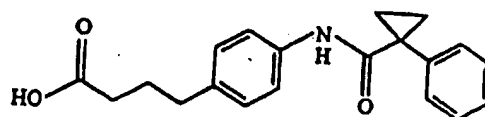
XXI



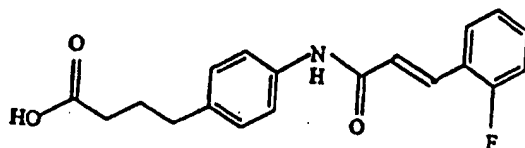
XXII



XXIII

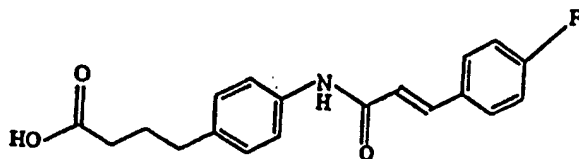


5



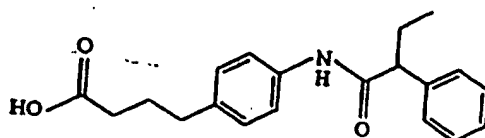
XXIV

10



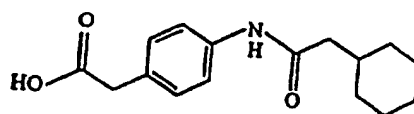
XXV

15



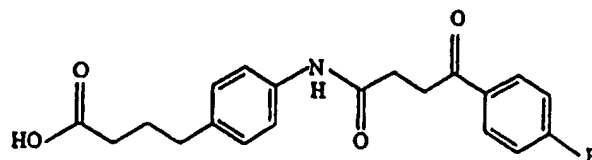
XXVI

20



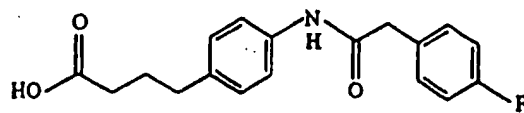
XXVII

25



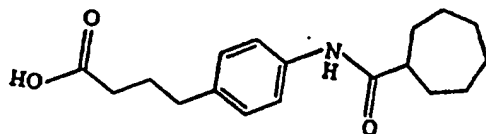
XXVIII

30



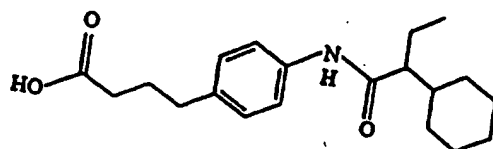
XXIX

5



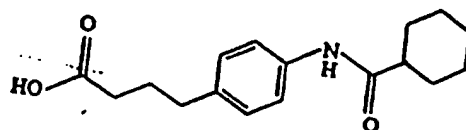
XXX

10



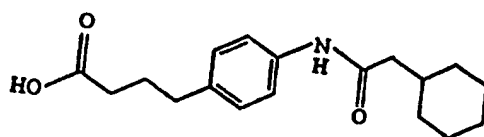
XXXI

15



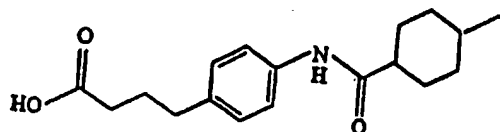
XXXII

20



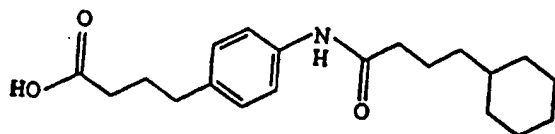
XXXIII

25



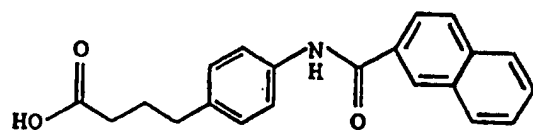
XXXIV

30



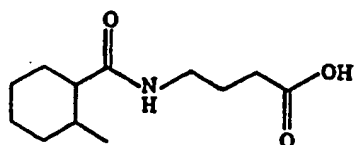
XXXV

5



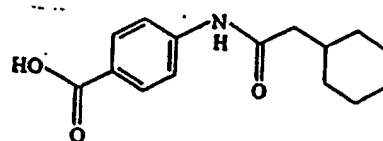
XXXVI

10



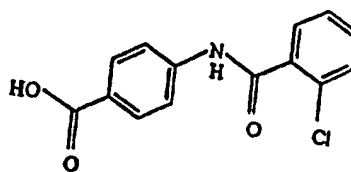
XXXVII

15



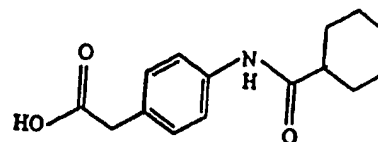
XXXVIII

20



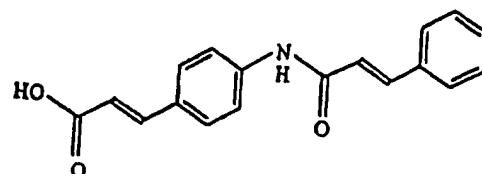
XXXIX

25



XL

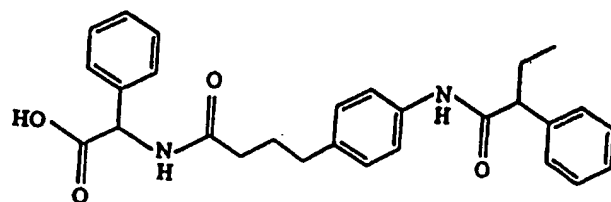
30



XLI

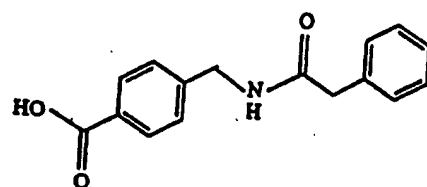


5



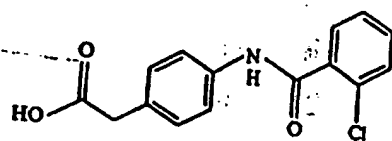
XLII

10



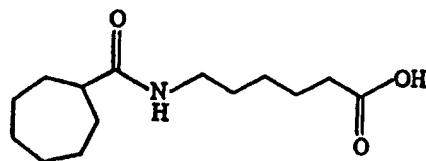
XLIII

15



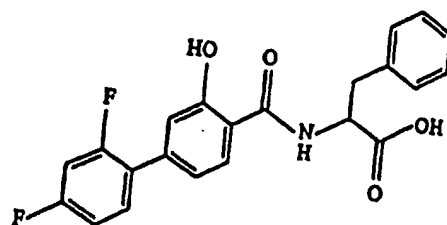
XLIV

20



XLV

25

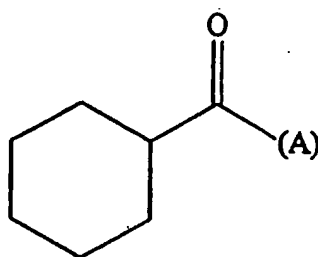


XLVI

30

Special mention is also made of compounds having the formula:

5



XLVII

10

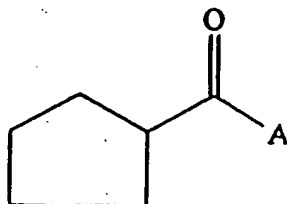
wherein A is Tyr, Leu, Arg, Trp, Phe, Lys, Val, or Cit; and

optionally wherein if A is Tyr, Arg, Trp, or Cit; A is acylated at 2 or more functional groups. Preferably A is Tyr; A is Tyr and is acylated at 2 functional groups; A is Leu; A is Arg; A is Arg and is acylated at 2 functional groups; A is Trp; A is Trp and is acylated at 2 functional groups; A is Cit; and

15 A is Cit and is acylated at 2 functional groups.

Special mention is also made of compounds having the formula:

20

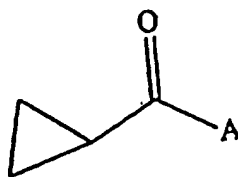


XLVIII

wherein A is Arg or Leu; and

wherein if A is Arg, A is optionally acylated at 2 or more functional groups;

25

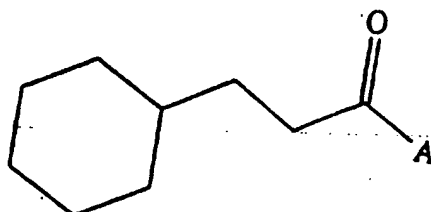


XLIX

where A is Leu or phenylglycine;

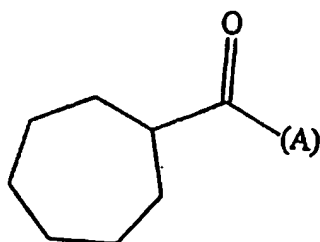
30

17



L

5 wherein A is phenylglycine; and



10

LI

wherein A is phenylglycine.

15 Acylated amino acids may be prepared by reacting single amino acids, mixtures of two or more amino acids, or amino acid esters with an amine modifying agent which reacts with free amino moieties present in the amino acids to form amides.

Suitable, but non-limiting, examples of acylating agents useful in preparing acylated amino acids include

20 acid chloride acylating agents having the formula  $R^{13}-C(=O)-X$  wherein:  
 $R^{13}$  is an appropriate group for the modified amino acid being prepared, such as, but not limited to, alkyl, alkenyl, cycloalkyl, or aromatic, and particularly methyl, ethyl, cyclohexyl, cyclopentyl, phenyl, or benzyl, and X is  
 25 a leaving group. Typical leaving groups include, but are not limited to, halogens such as chlorine, bromine and iodine.

30 Examples of the acylating agents include, but are not limited to, acyl halides including, but not limited to, acetyl chloride, propionyl chloride, cyclohexanoyl chloride, cyclopentanoyl chloride, and cycloheptanoyl chloride, benzoyl chloride, hippuryl chloride and the like; and anhydrides, such as acetic anhydride, propionic anhydride, cyclohexanoic anhydride, benzoic anhydride, hippuric anhydride and the like. Preferred acylating agents include benzoyl

chloride, hippuryl chloride, acetyl chloride, acetylsalicyloyl chloride, cyclohexanoyl chloride, cyclopentanoyl chloride, and cycloheptanoyl chloride.

The amine groups can also be modified by the reaction of a carboxylic acid with coupling agents such as the carbodiimide derivatives of amino acids, particularly hydrophilic amino acids such as phenylalanine, tryptophan, and tyrosine. Further examples include dicyclohexylcarbodiimide and the like.

If the amino acid is multifunctional, i.e., has more than one -OH, -NH<sub>2</sub> or -SH group, then it may optionally be acylated at one or more functional groups to form, for example, an ester, amide, or thioester linkage.

In the preparation of some acylated amino acids, the amino acids are dissolved in an aqueous alkaline solution of a metal hydroxide, *e.g.*, sodium or potassium hydroxide and the acylating agent added. The reaction time can range from about 1 hour to about 4 hours, preferably about 2 to about 2.5 hours. The temperature of the mixture is maintained at a temperature generally ranging between about 5°C and about 70°C, preferably between about 10°C and about 50°C. The amount of alkali employed per equivalent of NH<sub>2</sub> groups in the amino acids generally ranges between about 1.25 moles and about 3 moles, and is preferably between about 1.5 moles and about 2.25 moles per equivalent of NH<sub>2</sub>. The pH of the reaction solution generally ranges between about pH 8 and about pH 13, and is preferably between about pH 10 and about pH 12. The amount of amino modifying agent employed in relation to the quantity of amino acids is based on the moles of total free NH<sub>2</sub> in the amino acids. In general, the amino modifying agent is employed in an amount ranging between about 0.5 and about 2.5 mole equivalents, preferably between about 0.75 and about 1.25 equivalents, per molar equivalent of total NH<sub>2</sub> groups in the amino acids.

The modified amino acid formation reaction is quenched by adjusting the pH of the mixture with a suitable acid, *e.g.*, concentrated hydrochloric acid, until the pH reaches between about 2 and about 3. The mixture separates on standing at room temperature to form a transparent upper layer and a white or off-white precipitate. The upper layer is discarded, and modified amino acids are collected by filtration or decantation. The crude

modified amino acids are then mixed with water. Insoluble materials are removed by filtration and the filtrate is dried *in vacuo*. The yield of modified amino acids generally ranges between about 30 and about 60%, and usually about 45%. The present invention also contemplates amino acids which have  
5 been modified by multiple acylation, *e.g.*, diacylation or triacylation.

If amino acid esters or amides are the starting materials, they are dissolved in a suitable organic solvent such as dimethylformamide or pyridine and are reacted with the amino modifying agent at a temperature ranging between about 5°C and about 70°C, preferably about 25°C, for a period  
10 ranging between about 7 and about 24 hours. The amount of amino modifying agents used relative to the amino acid esters are the same as described above for amino acids.

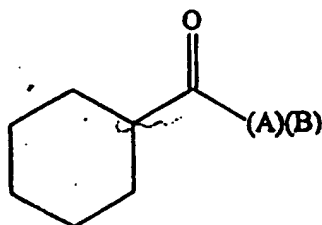
Thereafter, the reaction solvent is removed under negative pressure and optionally the ester or amide functionality can be removed by hydrolyzing  
15 the modified amino acid ester with a suitable alkaline solution, *e.g.*, 1 N sodium hydroxide, at a temperature ranging between about 50°C and about 80°C, preferably about 70°C, for a period of time sufficient to hydrolyze off the ester group and form the modified amino acid having a free carboxyl group. The hydrolysis mixture is then cooled to room temperature and acidified, *e.g.*, with  
20 an aqueous 25% hydrochloric acid solution, to a pH ranging between about 2 and about 2.5. The modified amino acid precipitates out of solution and is recovered by conventional means such as filtration or decantation.

The modified amino acids may be purified by acid precipitation, recrystallization or by fractionation on solid column supports. Fractionation may  
25 be performed on a suitable solid column supports, such as silica gel or alumina, using solvent mixtures such as acetic acid/butanol/water as the mobile phase; reverse phase column supports using trifluoroacetic acid/acetonitrile mixtures as the mobile phase; and ion exchange chromatography using water as the mobile  
30 phase. The modified amino acids may also be purified by extraction with a lower alcohol such as methanol, butanol, or isopropanol to remove impurities such as inorganic salts.

The modified amino acids generally are soluble in alkaline aqueous solution (pH  $\geq$  9.0); partially soluble in ethanol, n-butanol and 1:1 (v/v)

toluene/ethanol solution and insoluble in neutral water. The alkali metal salts, *e.g.*, the sodium salts of the modified amino acids are generally soluble in water at about a pH of 6-8.

In a poly amino acid or peptide, one or more of the amino acids may be modified (acylated). Modified poly amino acids and peptides may include one or more acylated amino acid(s). Although linear modified poly amino acids and peptides will generally include only one acylated amino acid, other poly amino acid and peptide configurations can include more than one acylated amino acid. Poly amino acids and peptides can be polymerized with the acylated amino acid(s) or can be acylated after polymerization. Special mention is made of the compound:



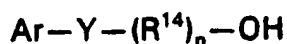
LII

wherein A is Arg or Leu and B is Arg or Leu.

## 20 Sulfonated Amino Acid Carriers

Sulfonated modified amino acids, poly amino acids, and peptides are modified by sulfonating at least one free amine group with a sulfonating agent which reacts with at least one of the free amine groups present.

Special mention is made of compounds of the formula



LIII

wherein Ar is a substituted or unsubstituted phenyl or naphthyl;

Y is  $-\text{SO}_2-$ ,  $\text{R}^{14}$  has the formula  $-\text{N}(\text{R}^{16})-\text{R}^{15}-\text{C}-$ , wherein:

$\text{R}^{15}$  is  $\text{C}_1$  to  $\text{C}_{24}$  alkyl,  $\text{C}_1$  to  $\text{C}_{24}$  alkenyl, phenyl, naphthyl, ( $\text{C}_1$  to  $\text{C}_{10}$  alkyl) phenyl, ( $\text{C}_1$  to  $\text{C}_{10}$  alkenyl) phenyl, ( $\text{C}_1$  to  $\text{C}_{10}$  alkyl) naphthyl, ( $\text{C}_1$  to  $\text{C}_{10}$

alkenyl) naphthyl, phenyl ( $C_1$  to  $C_{10}$  alkyl), phenyl ( $C_1$  to  $C_{10}$  alkenyl), naphthyl ( $C_1$  to  $C_{10}$  alkyl) and naphthyl ( $C_1$  to  $C_{10}$  alkenyl);

$R^{15}$  is optionally substituted with  $C_1$  to  $C_4$  alkyl,  $C_1$  to  $C_4$  alkenyl,  $C_1$  to  $C_4$  alkoxy, -OH, -SH and  $-CO_2R^{17}$  or any combination thereof;

$R^{17}$  is hydrogen,  $C_1$  to  $C_4$  alkyl or  $C_1$  to  $C_4$  alkenyl;

$R^{18}$  is optionally interrupted by oxygen, nitrogen, sulfur or any combination thereof; and

$R^{18}$  is hydrogen,  $C_1$  to  $C_4$  alkyl or  $C_1$  to  $C_4$  alkenyl.

Suitable, but non-limiting, examples of sulfonating agents useful in preparing sulfonated amino acids include sulfonating agents having the formula  $R^{18}-SO_2-X$  wherein  $R^{18}$  is an appropriate group for the modified amino acid being prepared, such as, but not limited to, alkyl, alkenyl, cycloalkyl, or aromatics and X is a leaving group as described above. One example of a sulfonating agent is benzene sulfonyl chloride.

Modified poly amino acids and peptides may include one or more sulfonated amino acid(s). Although linear modified poly amino acids and peptides used generally include only one sulfonated amino acid, other poly amino acid and peptide configurations can include more than one sulfonated amino acid. Poly amino acids and peptides can be polymerized with the sulfonated amino acid(s) or can be sulfonated after polymerization.

### Systems

Delivery of an antigen with an adjuvant and a carrier as described herein results in enhanced immune responses. Another advantage of the present invention is that smaller amounts of antigen and/or adjuvant may be used to achieve an appropriate response. This latter advantage is particularly evident when the composition is in microsphere form.

In one embodiment of the present invention, the modified amino acids, poly amino acids, peptides, or salts may be used as a carrier by simply mixing one or more modified amino acids, poly amino acids, or peptides, or salts with the antigen and adjuvant prior to administration. In another embodiment, the modified amino acids may be used to form microspheres containing the antigen and adjuvant.

Microspheres containing antigen and adjuvant can generally be of the matrix form or the microcapsule form. The matrix form includes both a hollow matrix sphere in which the carrier forms a matrix shell around a hollow center with the antigen and adjuvant distributed throughout the matrix and a solid matrix sphere in which the carrier forms a spherical matrix continuum in which the antigen and adjuvant are distributed.

The microcapsule form is one in which the encapsulated antigen and adjuvant independently are either in solution or are solid, with the carrier forming a shell around the encapsulated material. The microcapsule form is the form most often taken by the self assembly of the carriers of the present invention.

If the delivery composition is to be of the microsphere form, carrier microspheres can be prepared by dissolving the carrier in an appropriate solute and then stimulating self assembly by contacting the carrier solution with a precipitator. Solubility of the carrier can be regulated by the selection of the appropriate amino acids.

Furthermore, the microsphere carriers and, therefore, the compositions of the present invention can be pH adapted to be selectively soluble in specific acidic, basic, or neutral pH ranges.

Compositions which are targeted to an acidic environment can be made selectively soluble at acidic pH, such as the pH in the stomach. These compositions are prepared with an acid-soluble carrier. The acid-soluble carrier exists largely in the cation form in at least a portion of the pH range from about 1 to about 6.8. However, above about 6.8 or at selected ranges above pH 6.8, the carrier is largely unprotonated and insoluble in water. Therefore, the carrier could self assemble to microspheres at basic or neutral pH, and the antigen in the delivery composition would not be released until the carrier solubilizes upon encountering an acidic pH.

Compositions which are to be targeted to an alkaline environment can be made selectively soluble at alkaline pH, such as the pH in the distal portion of the intestine. These compositions are prepared with a base-soluble carrier. The base-soluble carrier exists largely in an anionic form in at least a portion of the pH range of from about 7.2 to about 11. However, below and at



pH 7.2, the carrier is largely protonated and insoluble in water. Therefore, the carrier could self assemble to microspheres at acidic or neutral pH, and the antigen in the delivery composition would not be released until the carrier solubilizes upon encountering a basic pH.

5       Compositions which are targeted to a neutral environment can be made selectively soluble at neutral pH. These compositions are prepared with a neutral-soluble carrier. The neutral-soluble carrier exists largely in a neutral form at neutral pH, i.e. from about 6.8 to about 7.2. However, above or below this range, the carrier is insoluble in water. Therefore, the carrier could self  
10 assemble to microspheres at acidic or basic pH, and the antigen in the delivery composition would not be released until the carrier solubilizes upon encountering a neutral pH.

In a typical formulation, the final solution can contain from about 10 mg to about 2000 mg of carrier per ml of solution, preferably between about  
15 75 to about 500 mg of carrier per ml of solution, and most preferably from about 75 to about 200 mg per ml. Optionally, the mixture is heated to a temperature between about 20°C and about 60°C, preferably about 40°C, until the carrier dissolves. Particulates remaining in the solution may be filtered out by conventional means such as gravity filtration through filter paper. The carrier  
20 solution usually is maintained at the elevated temperature and is mixed with the antigen and/or adjuvant and a precipitator, for example, an acid solution such as, for example, aqueous acetic or citric acid at a concentration ranging from about 1 N to about 3 N for acid insoluble carriers, a basic solution for base insoluble carriers, and a neutralizing solution for neutral insoluble carriers. The  
25 antigen and/or adjuvant can be mixed with the precipitating solution or can be used separately. The resultant mixture is maintained for a period of time sufficient for microsphere formation as observed by light microscopy. Although it is preferred that the precipitating solution is added to the carrier solution, the carrier solution can be added to the precipitating solution as well.

30       The solutions above may optionally contain additives such as stabilizing additives. The presence of such additives promotes the stability and dispersability of the active agent in solution. The stabilizing additives may be employed at a concentration ranging between about 0.1 and 5% (w/v),

preferably about 0.5% (w/v). Suitable, but non-limiting examples of stabilizing additives include buffer salts, gum acacia, gelatin, methyl cellulose, polyethylene glycol, polylysine, carboxylic acids, carboxylic acid salts, and cyclodextrins. The preferred stabilizing agents are gum acacia, gelatin, and methyl cellulose.

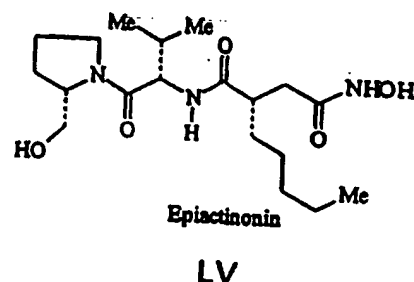
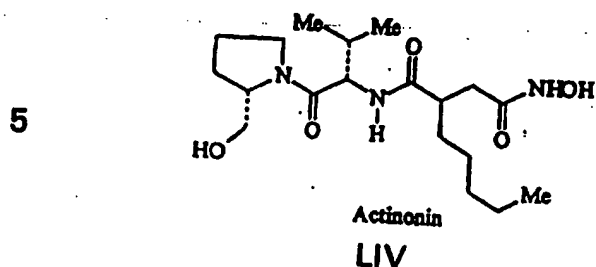
- 5           The amounts of antigen and adjuvant which may be encapsulated by the microsphere is dependent upon a number of factors which include the concentrations of antigen and adjuvant in the encapsulating solution as well as their affinities for the carrier. The concentrations of antigen and adjuvant in the final formulation also will vary depending on the required dosage for treatment.
- 10   When necessary, the exact concentrations can be determined by, for example, reverse phase HPLC analysis.

- When the present compositions are in microsphere form, the particle size of the microsphere can also aid in providing efficient delivery of the antigen to the target. Typically, microspheres of the present invention will have
- 15   a diameter of less than 10  $\mu\text{m}$ , preferably in the range of from about 0.1  $\mu\text{m}$  to about 10  $\mu\text{m}$ , and most preferably in the range of from 0.2  $\mu\text{m}$  to about 10  $\mu\text{m}$ . The size of the microspheres containing an antigen can be controlled by manipulating a variety of physical or chemical parameters, such as the pH, osmolarity, ionic strength of the encapsulating solution, or size of the ions in
- 20   solution, and/or by the choice of the precipitator used in the microsphere forming and loading process.

- For example, in the GI tract, it is often desirable to use microspheres which are sufficiently small to deliver effectively the antigen to the targeted area within the gastrointestinal tract. Small microspheres can also be
- 25   administered parenterally by suspending the spheres in an appropriate fluid (e.g. isotonic solution) and injecting the solution directly into the circulatory system intramuscularly or subcutaneously. The mode of administration of the delivery compositions will vary, of course, depending upon the requirement of the antigen administered. It has been noted that large amino acid microspheres
- 30   (greater than 50  $\mu\text{m}$ ) tend to be less effective as oral delivery systems.

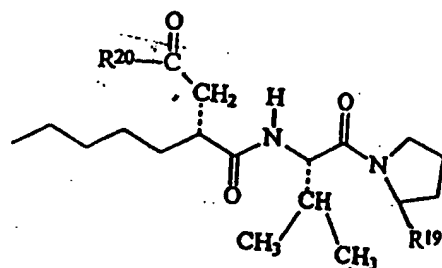
          The compositions of the present invention may also include one or more enzyme inhibitors. Such enzyme inhibitors include, but are not limited to,

compounds such as actinonin or epiactinonin and derivatives thereof. These compounds have the formulas below:



Derivatives of these compounds are disclosed in U.S. Patent No. 5,206,384.

Actinonin derivatives have the formula:



wherein  $R^{19}$  is sulfoxymethyl or carboxyl or a substituted carboxyl group selected from carboxamide, hydroxyaminocarbonyl and alkoxycarbonyl groups; and  $R^{20}$  is hydroxyl, alkoxy, hydroxyamino or sulfoxyamino group. Other enzyme inhibitors include, but are not limited to, aprotinin (Trasylol) and Bowman-Birk inhibitor.

The compositions of the present invention may be formulated into dosage units by the addition of one or more excipient(s), diluent(s), disintegrant(s), lubricant(s), plasticizer(s), colorant(s), or dosing vehicle(s). Preferred dosage unit forms are oral dosage unit forms. Most preferred dosage unit forms include, but are not limited to, tablets, capsules, or liquids. The dosage unit forms can include biologically or immunogenically effective amounts of the antigen and an biologically or immunogenically assisting effective amount of the adjuvant but can include less than such an amount if multiple dosage unit forms are to be used to administer a total dosage of the antigen and adjuvant. Dosage unit forms are prepared by methods conventional in the art.

The carriers of the present invention do not alter the physiological and biological properties of the antigen or the adjuvant. Furthermore, the

encapsulation process need not alter the structure of the antigen. Any antigen can be incorporated within the amino acid microspheres.

The compositions are particularly advantageous for oral immunization with antigens which otherwise would be destroyed or rendered less effective by conditions encountered within the body of the animal to which it is administered, before the microsphere reaches its target zone such as peptides or proteins, which, by themselves, do not pass or are not taken up in the gastro-intestinal mucosa and/or are susceptible to chemical cleavage by acids and enzymes in the gastrointestinal tract. Such antigens include those used to provide immunization against diseases including but not limited to, influenza, diphtheria, tetanus, measles, polio, hepatitis and the like. The compositions of the invention are more effective at inducing both mucosal and serum antibody responses than antigens which are administered without the carriers specified herein and adjuvants. The antigens are administered to a mammal for their biological effect, such as, for example as immune stimulators.

Administration of the present compositions or dosage unit forms preferably is oral or by intraduodenal injection.

### EXAMPLES

The invention will now be illustrated in the following non-limiting examples which are illustrative of the invention but are not intended to limit the scope of the invention.

#### EXAMPLE 1

##### 25 PREPARATION OF *O,N*-DICYCLOHEXANOYL-(*L*)-TYROSINE

(*L*)-Tyrosine (61.6 g., 0.34 mole) was dissolved in 190 mL of 2 N sodium hydroxide. Cyclohexanoyl chloride (49.32 mL, 0.34 mole) was added dropwise to the mixture. Additional aqueous 2 N sodium hydroxide was added, and the reaction mixture was allowed to stir at room temperature for 2 hours. The mixture was then acidified to pH 9.5 with aqueous (4:1) hydrochloric acid. A precipitate formed which was separated by vacuum filtration. The solids were dissolved in 2 N sodium hydroxide and dried by lyophilization to furnish 33.5 g of *N,O*-dicyclohexanoyl-(*L*)-tyrosine. The product was purified by column

chromatography on silica gel using butanol/acetic acid/water as the eluent system. The pure product was a white solid.

Properties are listed below:

5

Mass Spectrum:  $M + 23$  m/e 314.

$^1\text{H}$  NMR (300 MHz, DMSO- $d_6$ ):  $\delta$  = 6.8 (d, 2H); 6.4 (d, 2H); 4.4 (m, 1H); 2.5 (ddd, 2H); 2.0 (m, 2H); 1.6 (m, 10H); 1.2 (m, 10H).

IR (KBr)  $\text{cm}^{-1}$ : 3350, 2900, 2850, 1600, 1520, 1450, 1400, 1300.

10

## EXAMPLE 2

### PREPARATION OF *N*-CYCLOHEXANOYL-*(L)*-TYROSINE

Cyclohexanoyl chloride (7 mL, 47 mmole) was added dropwise to a stirred solution of dry pyridine (400 mL) and *O*-benzyltyrosine benzyl ester (25 g, 46.8 mmole). The reaction temperature was maintained at 0°C throughout the addition. The reaction mixture was stirred for an additional 2 hours after the addition was complete. The reaction mixture was concentrated to dryness *in vacuo* to provide a solid material. The solid was washed with aqueous hydrochloric acid (1N, 4 x 400 mL). The residue was dissolved in ethyl acetate (300 mL), washed with aqueous hydrochloric acid (1N, 2 x 500 mL), aqueous sodium bicarbonate (2 x 300 mL), and dried over magnesium sulfate. Filtration, followed by concentration *in vacuo*, provided an oil which was dissolved in methanol/tetrahydrofuran (400 mL/70 mL) and was hydrogenated at atmospheric pressure and room temperature over 10% palladium on carbon (600 mg). The reaction mixture was filtered through Celite and concentrated *in vacuo* to provide a solid which was recrystallized from ethyl acetate/hexane. The crystals were collected to provide the *N*-cyclohexanoyl-*(L)*-tyrosine (8.7 g, 64%) as a white solid.

30

NMR results are listed below:

<sup>1</sup>H NMR (300 MHz, D<sub>2</sub>O) δ 6.9 (d, 2H, aromatic), 6.6 (d, 2H, aromatic), 4.25 (m, 1H, NHCHCOOH), 2.95 (m, 1H, CH<sub>2</sub>), 2.7 (m, 1H, CH<sub>2</sub>) 2.05 (m, 1H, NHC(O)CH), 1.5 (br. m, 5H, cyclohexyl), 1.05 (br. m, 5H, cyclohexyl).

5

### EXAMPLE 3

#### PREPARATION OF *N*-CYCLOHEXANOYL-(*L*)-LEUCINE

Cyclohexanoyl chloride (32.7 mL, 232 mmole) was added dropwise to a solution of (*L*)-leucine (37 g, 282 mmole) in aqueous sodium hydroxide (500 mL, 2 N). During the course of this addition, the reaction temperature was maintained below 45°C using an ice/water bath, as necessary. The pH was maintained at about 10 by the addition of aliquots of 14 N NaOH, as necessary. After the addition was complete, the reaction mixture was stirred for an additional 2 hours at room temperature. The resulting clear solution was adjusted to pH 2.5 by the dropwise addition of concentrated hydrochloric acid. The precipitate was collected by filtration, re-dissolved in a minimum amount of 12 N sodium hydroxide, and re-precipitated by dropwise addition of concentrated hydrochloric acid and filtered. The crude reaction product was a white solid and contained about 85% *N*-cyclohexanoyl leucine sodium salt, about 10% cyclohexane carboxylic acid sodium salt, and about 5% *N*-cyclohexanoylleucylleucine sodium salt, by weight. The solid was washed with dilute aqueous hydrochloric acid (750 mL, 0.1 N) to provide *N*-cyclohexanoyl-(*L*)-leucine as a white crystalline solid (52.6 g, 77%).

25 NMR results are listed below:

<sup>1</sup>H NMR (300 MHz D<sub>2</sub>O) δ 4.2 (t, 1H, NHCHCOOH), 2.0 (m, 1H, cyclohexylmethine), 1.6 (m, 7H, ring CH<sub>2</sub>, *i*-Bu CH<sub>2</sub> and CH), 1.3 (m, 6H, ring CH<sub>2</sub>), 0.8 (dd, 6H, CH<sub>3</sub>).

30

### EXAMPLE 4

#### PREPARATION OF *N*-CYCLOHEXANOYL-(*L*)-ARGININE AND *N,N*-DICYCLOHEXANOYL-(*L*)-ARGININE

(*L*)-Arginine (103.2 g., 0.6 mole) was dissolved in 600 mL of 2 N sodium hydroxide. Cyclohexanoyl chloride (87 mL, 0.6 mole) was added dropwise to the mixture. The reaction mixture was maintained at 50°C for 2 hours. The mixture was then cooled to room temperature and acidified to pH 2.3 with aqueous (4:1) hydrochloric acid. The precipitate which formed was separated by decantation. The solids were dissolved in 2 N sodium hydroxide and dried by lyophilization to furnish 64.1 g of crude *N*-cyclohexanoyl-(*L*)-arginine. The product was purified by column chromatography on silica gel/using butanol/acetic acid/water as the eluent system. The products isolated were *N*-cyclohexanoyl-(*L*)-arginine and *N,N*-dicyclohexanoyl-(*L*)-arginine.

Properties are listed below:

*N*-cyclohexanoyl-(*L*)-arginine:

Mass Spectrum:  $M + 1$  m/e 285.

<sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>): ppm  $\delta$  = 8.75(br, 1H); 7.6 (br, 5H); 4.0 (m, 1H); 3.05 (m, 2H); 2.15 (m, 1H); 1.1-1.5 (br.m, 14H).

*N,N*-dicyclohexanoyl-(*L*)-arginine:

Mass Spectrum:  $M + 1$  m/e 395.

<sup>1</sup>H NMR: (300 MHz, DMSO-d<sub>6</sub>):  $\delta$  = 2.0 (m, 3H); 1.8-1.4 (br. m, 17H); 1.3-1.0 (br. m, 20H)

EXAMPLE 5

PREPARATION OF *N*-CYCLOHEXANOYL-(*L*)-CITRULLINE

*L*-Citrulline (35.2 g., 0.2 mole) was dissolved in 200 mL of 2 N sodium hydroxide. Cyclohexanoyl chloride (29 mL, 0.2 mole) was added dropwise to the mixture. The reaction mixture was maintained at about 25°C for 1 hour. The mixture was then acidified to pH 2.6 with aqueous (4:1) hydrochloric acid. The precipitate which formed was separated by decantation. The solids were dissolved in 2 N sodium hydroxide to pH 6.5 and dried by lyophilization to furnish 44.2 g of *N*-cyclohexanoyl-(*L*)-citrulline. The product was a white solid.

Properties are listed below:

Mass Spectrum:  $M + 23$  m/e 308.

$^1\text{H}$  NMR (300MHz, DMSO- $d_6$ ):  $\delta$  = 4.1 (dd, 1H); 2.9 (t, 2H); 2.1 (m, 2H); 1.6-1.2 (br.m, 14H).

IR (KBr)  $\text{cm}^{-1}$ : 3400, 3300, 2950, 2850, 1700, 1650, 1600, 1450, 1400  $\text{cm}^{-1}$ .

### EXAMPLE 6

#### 10 PREPARATION OF *N*-CYCLOPENTANOYL-(*L*)-ARGININE

(*L*)-Arginine (32.8 g., 0.19 moles) was dissolved in 188 mL of 2 N sodium hydroxide. Cyclopentanoyl chloride (22.9 mL, 0.19 moles) was added dropwise to the mixture. The reaction mixture was maintained at about 25°C for 2 hours. The mixture was then acidified to pH 1.5 with aqueous (4:1) hydrochloric acid. 15 The precipitate which formed was separated by decantation. The solids were dissolved in 2 N sodium hydroxide to pH 7.5 and dried by lyophilization to furnish 67.4 g of *N*-cyclopentanoyl-(*L*)-arginine. The product was a white solid.

20 Properties are listed below:

Mass Spectrum:  $M + 1$  m/e 271.

### EXAMPLE 7

#### 25 PREPARATION OF 4-(4-PHENYLSULFONAMIDO)PHENYLBUTYRIC ACID

4-(4-Aminophenyl)butyric acid, (20 g 0.11 moles) was dissolved in 110 mL of aqueous 2 N sodium hydroxide solution. After stirring for about 5 minutes at room temperature, benzene sulfonyl chloride (14.2 mL, 0.11 moles) was added dropwise into the amino acid solution over a 15 minute period. After 30 stirring for about 3 hours at room temperature the mixture was acidified to pH 2 by addition of hydrochloric acid. This furnished a light brown precipitate which was isolated by filtration. The precipitate was washed with warm water and



dried. The yield of 4-(phenylsulfonamido)4-phenylbutyric acid was 24.3 g (69%). The melting point was 123-25°C.

### EXAMPLE 8

#### 5 PREPARATION OF 4-PHENYLSULFONAMIDOBENZOIC ACID

Following the procedure of Example 7, 4-aminobenzoic acid was converted to 4-(phenylsulfonamido)benzoic acid.

### EXAMPLE 9

#### 10 PREPARATIONS OF 4-(4-PHENYLSULFONAMIDO)PHENYLACETIC ACID, 4-(4-PHENYLSULFONAMIDO)HIPPURIC ACID, AND 4-(4-PHENYLSULFONAMIDOMETHYL)BENZOIC ACID

Following the procedure of Example 7, 4-aminophenylacetic acid, 4-aminohippuric acid, and 4-aminomethylbenzoic acid were converted to 4-(4-phenylsulfonamido)phenylacetic acid, 4-(4-phenylsulfonamido)hippuric acid, and 4-(4-phenylsulfonamidomethyl)benzoic acid respectively.

If necessary, the sulfonated amino acids can be purified by recrystallization and/or chromatography.

### 20 EXAMPLE 10

#### REACTION OF MIXED AMINO ACIDS WITH BENZENE SULFONYL CHLORIDE

A mixture of sixteen amino acids were prepared prior to chemical modification. The constituents of the mixture are summarized in Table 1. 65 grams of the amino acid mixture (total concentration of [-NH<sub>2</sub>] groups = 0.61 moles) was dissolved in 760 mL of 1 N sodium hydroxide solution (0.7625 equivalents) at room temperature. After stirring for 20 minutes, benzene sulfonyl chloride (78 ml, 1 eq.) was added over a 20 minute period. The reaction mixture was then stirred for 2.5 hours, without heating. As some precipitation had occurred, additional NaOH solution (2 N) was added to the solution until it reached pH 9.3. The reaction mixture stirred overnight at room temperature. Thereafter, the mixture was acidified using dilute hydrochloric acid (38%, 1:4) and a cream colored material precipitated out. The resulting precipitate was isolated by decantation and dissolved in sodium hydroxide (2 N).

This solution was then reduced *in vacuo* to give a yellow solid, which was dried on the lyophilizer.

**TABLE 1: Amino Acid Composition**

Amino Acid	Weight (g)	% of Total Weight	No. of moles of each Amino Acid ( $\times 10^{-2}$ )	No. of Moles of - $[-NH_2]$
Thr	2.47	3.8	2.07	2.07
Ser	2.25	3.46	2.1	2.1
Ala	4.61	7.1	5.17	5.17
Val	4.39	6.76	3.75	3.75
Met	0.53	0.82	0.35	0.35
Ile	2.47	3.8	0.36	0.36
Leu	3.86	5.94	2.95	2.95
Tyr	1.03	1.58	0.56	0.56
Phe	4.39	6.76	0.27	0.27
His	2.47	3.8	1.6	3.2
Lys	4.94	7.6	3.4	6.8
Arg	5.13	7.9	2.95	5.90
Glutamine	9.87	15.18	6.76	13.42
Glutamic Acid	9.87	15.18	6.70	6.70
Asparagine	3.32	5.11	2.51	5.02
Aspartic Acid	3.32	5.11	2.50	2.50

### **EXAMPLE 11**

#### **REACTION OF FIVE MIXED AMINO ACIDS WITH BENZENE SULFONYL CHLORIDE**

An 86.1 g (0.85 moles of  $NH_2$ ) mixture of amino acids (see Table 2) was dissolved in 643 mL (1.5 eq.) of aqueous 2 N sodium hydroxide solution. After stirring for 30 minutes at room temperature, benzene sulfonyl chloride (108 mL, 0.86 moles) was added portionwise into the amino acid solution over

a 15 minute period. After stirring for 2.5 hours at room temperature, the pH of the reaction mixture (pH 5) was adjusted to pH 9 with additional 2 N sodium hydroxide solution. The reaction mixture stirred overnight at room temperature. Thereafter, the pH of the reaction mixture was adjusted to pH 2.5 by addition of dilute aqueous hydrochloric acid solution (4:1, H<sub>2</sub>O:HCl) and a precipitate of modified amino acids formed. The upper layer was discarded and the resulting yellow precipitate was isolated by decantation, washed with water and dissolved in 2 N sodium hydroxide (2 N). The solution was reduced *in vacuo* to give a yellow solid which was lyophilized overnight. The yield of crude modified amino acid was 137.9 g.

### EXAMPLE 12

#### REACTION OF FIVE MIXED AMINO ACIDS WITH BENZOYL CHLORIDE

An 86 g (0.85 moles of NH<sub>2</sub>) mixture of amino acids (see Table 2 in Example 11) was dissolved in 637 mL (1.5 eq.) of aqueous 2 N sodium hydroxide solution. After stirring for 10 minutes at room temperature, benzoyl chloride (99 mL, 0.85 moles) was added portionwise into the amino acid solution over a 10 minute period. After stirring for 2.5 hours at room temperature, the pH of the reaction mixture (pH 12) was adjusted to pH 2.5 using dilute hydrochloric acid (4:1, H<sub>2</sub>O:HCl) and a precipitate of modified amino acids formed. After settling for 1 hour, the resulting precipitate was isolated by decantation, washed with water and dissolved in sodium hydroxide (2 N). This solution was then reduced *in vacuo* to give crude modified amino acids as a white solid (220.5 g).

**Table 2: Amino Acid Composition**

Amino Acid	Moles of Amino Acid ( $\times 10^{-2}$ )	Moles of [ $-NH_2$ ] $\times 10^{-2}$
Valine	7.5	7.5
Leucine	10.7	10.5
Phenylalanine	13.4	13.4
Lysine	21.0	42.0
Arginine	6.0	12.0

**10 EXAMPLE 13****PREPARATION OF N-PHENYLSULFONYLVALINE**

*L*-Valine (50 g, 0.43 mole) was dissolved in 376 mL (0.75 eq.) of aqueous 2 N sodium hydroxide by stirring at room temperature for 10 minutes. Benzene sulfonyl chloride (68.7 mL, 0.38 mole, 1.25 eq.) was then added to the amino acid solution over a 20 minute period at room temperature. After stirring for 2 hours at room temperature, a precipitate appeared. The precipitate was dissolved by adding 200 mL of additional 2 N sodium hydroxide solution. After stirring for an additional 30 minutes, dilute aqueous hydrochloric acid solution (4:1, H<sub>2</sub>O:HCl) was added until the pH of the reaction mixture reached 2.6. A precipitate of modified amino acid formed was recovered by decantation. This material was dissolved in 2 N sodium hydroxide and dried *in vacuo* to give a white solid. The yield of crude modified amino acid was 84.6 g, 77%.

**EXAMPLE 14****25 PREPARATION OF N-HIPPURYLPHENYLALANINE**

*L*-Phenylalanine methyl ester hydrochloride (15 g, 0.084 mole) was dissolved in dimethylformamide (DMF) (100 mL) and to this was added pyridine (30 mL). A solution of hippuryl chloride (16.6 g, 0.084 moles in 100 mL DMF) was immediately added to the amino acid ester solution in two portions. The reaction mixture was stirred at room temperature overnight. The reaction mixture was then reduced *in vacuo* and dissolved in 1 N aqueous sodium hydroxide. The solution was heated at 70°C for 3 hours in order to hydrolyze

the methyl ester to a free carboxyl group. Thereafter, the solution was acidified to pH 2.25 using dilute aqueous hydrochloric acid solution (1:3 HCl/H<sub>2</sub>O). A gum-like precipitate formed and this was recovered and dissolved in 1 N sodium hydroxide. The solution was reduced *in vacuo* to afford 18.6 g of crude modified amino acid product (Yield 18.6 g). After recrystallization from acetonitrile, pure modified phenylalanine (12 g) was recovered as a white powder. m.p. 223-225°C.

### EXAMPLE 15

#### 10 PREPARATION OF ANTIGEN/DELIVERY SYSTEM

A carrier solution of 300 mg of the mixture of modified amino acids, prepared in Example 11, was added to 1.5 ml of water and mixed.

Cholera toxin (CT) adjuvant solution was prepared by reconstituting it in water at a concentration of 1 mg/ml.

15 Ovalbumin (3 mg) (OVA) antigen was dissolved in 1.2 ml of a solution of 1.7 N citric acid/1% gum acacia, and 0.3 ml of the cholera toxin solution was added.

The carrier solution and the OVA/CT solution were warmed to 40°C and mixed together. The sample had a carrier concentration of 100 mg/mL and an OVA concentration of 1 mg/mL.

### EXAMPLE 16

#### ANTIGEN /IN VIVO EXPERIMENTS IN MICE

25 Following the procedure in Example 15, a preparation of antigen (1 mg/ml of OVA), adjuvant (100 µg/ml of CT) with carrier (100 mg/ml of modified amino acid carrier) was prepared. Fasted mice were anesthetized with Ketamine, and administered, by oral gavage, a dose containing 100 µg OVA, 10 µg CT, and 10 mg of carrier.

30 Intestinal secretions were collected on days 18, 32, 46, and 67 after dosing with the antigen/adjuvant/carrier preparation. The mice were dosed with a hypertonic solution prior to collection of the secretion samples. The secretions were then placed in a solution containing protease inhibitors. The resultant solution was cleared by centrifugation and assayed for total and OVA-

specific IgA. IgA titer was determined by analyzing the secretions for the total IgA in the secretions and the OVA-specific IgA using the ELISA procedure below. The OVA-specific IgA could then be calculated from the results. IgA was expressed as "units" of specific anti-OVA IgA.

5

#### **ELISA FOR TOTAL IgA IN INTESTINAL SECRETIONS**

1. Coat plate with 100  $\mu$ l per well of affinity purified goat anti-mouse IgA (1 $\mu$ g/ml) in carbonate buffer (pH 9.6). Incubate overnight at 4°C.
- 10 2. Wash with an imidazole buffer having 0.05% Tween 20.
3. Add 1/10 diluted BSA blocking solution, 300  $\mu$ l per well. Incubate, with shaking, 30 minutes at room temperature.
4. Wash with an imidazole buffer having 0.05% Tween 20.
5. Add 100  $\mu$ l per well of serially diluted samples starting at 1/1000.
- 15 Standard reference Mouse IgA is run at 6 dilutions: 1/150,000 (10  $\mu$ l of Mouse IgA to 10 ml of buffer (1/1000)). Add 100  $\mu$ l of this solution to 14.9 ml of buffer (final dilution 1/150,000) (16.32 ng/ml) (standard (1)); 200,000 3 ml of standard (1) + 1 ml of buffer (1/200,000) (12.25 ng/ml) (standard (2)); 300,000 2 ml of standard (1) + 2 ml of buffer (1/300,000) (8.16 ng/ml)
- 20 (standard (3)); 400,000 2 ml of standard (2) + 2 ml of buffer (1/400,000) (6.125 ng/ml) (standard (4)); 600,000 1 ml of standard (3) + 1 ml of buffer (1/600,000) (4.08 ng/ml) (standard (5)); and 800,000 1 ml of standard (4) + 1 ml of buffer (1/800,000) (3.06 ng/ml) (standard (6)). Incubate for one hour at room at room temperature, shaking at high speed.
- 25 6. Wash 8 times with an imidazole buffer having 0.05% Tween 20.
7. Add 100  $\mu$ l per well of a 1/10,000 dilution of Rabbit anti-Mouse IgA in 1/15 diluent containing 4% PEG 6000. Mix briefly on shaker. Incubate
- 30 overnight at 4°C.
8. Wash 8 times with an imidazole buffer having 0.05% Tween 20.

9. Add 100  $\mu$ l per well of a 1/10,000 dilution of Alkaline-Phosphatase conjugated-Goat anti-Rabbit IgG in 1/15 diluent containing 4% PEG 6000. Incubate one hour at room temperature, with rapid shaking.

5 10. Wash 8 times with an imidazole buffer having 0.05% Tween 20.

11. Add 100  $\mu$ l per well of PNPP/DEA, pH 9.8. Incubate 30 minutes at room temperature (so that maximum OD = 1.8-2.0). Read OD 405, subtracting OD of appropriate background well.

10

12. Calculate total IgA in samples from standard curve (OD vs. log[IgA]), taking the average of the values calculated for all dilutions whose OD's fall within the standard curve (i.e., find OD for sample which falls within the linear range of the curve and interpolate to find its concentration on the curve.

15 Multiply this value by the appropriate dilution factor for that value).

### ELISA FOR SPECIFIC ANTI-OVA IGA IN INTESTINAL SECRETIONS

1. Coat plate with OVA. Add 100  $\mu$ l of a 4  $\mu$ g/ml solution of OVA in  
20 carbonate buffer (pH 9.6) to each well.

2. Incubate overnight at 4°C or two hours at room temperature with rapid shaking.

25 3. Empty wells and wash 4 times with an imidazole buffer having 0.05% Tween 20.

4. Add 300  $\mu$ l of BSA solution to each well and incubate 30 minutes at room temperature with shaking.

30

5. Wash 4 times with a imidazole buffer having 0.05% Tween 20.

6. Place intestinal secretion samples in 37°C water bath until almost thawed, and centrifuge at 4°C at 4000 rpm for 10 minutes to remove any precipitate.

5           7. Add 100 µl per well of appropriately diluted samples (three-fold serial dilutions from 1/2 up to 1/486).

Leave at least two "background" wells (all reagents except sample).

10           Negative control: pooled secretions collected from naive mice (diluted as with samples.)

Reference: Rabbit anti-OVA IgG diluted 1/200,000 2 wells) -

8. Incubate one hour at room temperature with rapid shaking.

15           9. Wash 8 times with a imidazole buffer having 0.05% Tween 20.

10. (a) To secretions: Add 100µl of dilute (1/1000) Alkaline-Phosphatase conjugated anti-mouse IgA in 1/15 diluent containing 4% PEG 6000.

20           (b) To reference and one background well: 100 µl of 1/10,000 diluted A-P conjugated Goat anti-rabbit IgG in 1/15 diluent + 4% PEG 6000.

11. Incubate one hour with rapid shaking.

25           12. Wash 8 times with an imidazole buffer having 0.05% Tween 20.

13. Add 100 µl of freshly prepared p-NPP substrate in diethanolamine buffer to each well.

30           14. Incubate 30 minutes at room temperature in the dark.

15. Read OD<sub>405</sub> subtracting the average OD of the appropriate background wells.



16. Define the number of "antibody units" in the sample as 1/dilution of the sample whose  $OD_{405} = \text{average } OD_{405} \text{ of the IgG reference wells} \times 100$ .

Express IgA content of samples as:

5

(antibody units of specific IgA)

( $\mu\text{g}$  total IgA)

Results are illustrated in Figure 1.

## 10 EXAMPLE 17

### ANTIGEN *IN VIVO* EXPERIMENT

Following the procedure in Example 15, a composition containing antigen (1 mg/ml of OVA), adjuvant (100  $\mu\text{g}/\text{ml}$  of CT) and carrier (100 mg/ml of cyclohexanoyl-Arg) was prepared. Mice were administered, by oral gavage, a dose containing 100  $\mu\text{g}$  OVA, 10  $\mu\text{g}$  CT and 10 mg of carrier. Blood samples were taken at six weeks post dose. Serum was assayed using an ELISA to measure anti-OVA serum IgG. The procedure was as described below:

### SERUM IgG TITER DETERMINATION

20

1. Add 100  $\mu\text{l}$  OVA solution (4  $\mu\text{g}/\text{ml}$  in carbonate buffer, pH 9.6) to each well.
2. Incubate at 4°C overnight, or 2 hours at room temperature with shaking.
3. Empty and wash plate 4 times with imidazole buffer having 0.05% Tween 20 and one 5 minute soak.

4. Add 300 $\mu$ l of BSA solution and incubate 30 minutes at room temperature.

5. Wash as above.

5

6. Add 100 ml of 1/15 diluted BSA solution to each well except first row of samples, first standard curve well, and wells for positive and negative controls.

10 7. Add samples and controls.

Samples: Place 150  $\mu$ l of a 1/200 dilution of each sample in first well of sample rows.

Serially dilute 50  $\mu$ l for 3-fold dilutions.

15 Positive Controls: Place 200  $\mu$ l of hyper immune serum at 1/2000 dilution in first well. Serially dilute 100  $\mu$ l two-fold to 1/64000 (6 wells).

Negative control: pooled serum from naive mice (1/200 dilution): 100  $\mu$ l.

"Background": all reagents except serum in at least two wells.

20 8. Incubate two hours at room temperature with shaking.

9. Wash 8 times with imidazole buffer having 0.05% Tween 20 and one 5 minute soak.

10. Add 100 $\mu$ l of Goat anti-Mouse IgG Alkaline Phosphatase Conjugate (diluted 1/1000 in 1/15 PBS/BSA solution containing 4% PEG 6000)

11. Incubate overnight at 4°C after shaking for a few minutes.

12. Wash 8 times with imidazole buffer having 0.05% Tween 20.

13. Add 100  $\mu$ l of freshly prepared pNPP solution to each well and develop at room temperature in the dark.

14. Read OD<sub>405</sub>. (Subtract blank i.e., empty well, not background).

15. Record when OD<sub>405</sub> of 1/2000 standard = 1.2 (about 0.5-1 hour).

16. Calculate antibody titers in samples by interpolation of OD's of dilutions. (max dilution at which OD<sub>405</sub> = 3X background).

Results are illustrated in Figure 2.

### COMPARATIVE EXAMPLE 17A

#### 20 ANTIGEN /N VIVO EXPERIMENT

A composition of antigen (OVA) and adjuvant (CT) was prepared. Mice were administered, by oral gavage, a dose containing antigen (100  $\mu$ g OVA) and adjuvant (10 $\mu$ g CT). Blood samples were collected and analyzed as described in Example 17.

Results are illustrated in Figure 2.

**EXAMPLE 18****ANTIGEN /N VIVO EXPERIMENT**

Following the procedure of Example 15, a composition of antigen (1 mg/ml of OVA), adjuvant (100  $\mu$ g/ml of CT) and carrier (100 mg/ml of cyclohexanoyl-Arg) was prepared. Mice were administered, by oral gavage, a dose containing 100  $\mu$ g of OVA, 10 $\mu$ g of CT and 10 mg of carrier. Secretion samples were collected and analyzed at 46 days post dose as described in Example 16.

Results are illustrated in Figure 3.

**COMPARATIVE EXAMPLE 18A****ANTIGEN /N VIVO EXPERIMENT**

Following the procedure of comparative Example 17A mice were administered a composition containing antigen (100  $\mu$ g OVA) and adjuvant (10 $\mu$ g CT). Secretion samples were collected and analyzed at 46 days post dose as described in Example 16.

Results are illustrated in Figure 3.

**EXAMPLE 19****ANTIGEN /N VIVO EXPERIMENT**

Mice were administered, by intraperitoneal injection, an antigen preparation containing antigen (10  $\mu$ g OVA) and adjuvant (10  $\mu$ g CT). This was followed by a booster administered by oral gavage, containing antigen (100  $\mu$ g OVA), adjuvant (10  $\mu$ g CT) and 10 mg of cyclohexanoyl-Arg. Secretion samples were collected and analyzed at 46 days post dose as described in Example 16.

Results are illustrated in Figure 3.

### EXAMPLE 20

#### PREPARATION OF ANTIGEN/CARRIER COMPOSITION

5 A carrier solution is prepared by adding 90 mg of *N*-cyclohexanoyl-(*L*)-tyrosine and 135 mg of *N*-cyclohexanoylleucine to 1.5 ml of water.

Cholera toxin (CT) adjuvant solution is prepared by reconstituting CT in water at a concentration of 1 mg/ml.

10 Ovalbumin (3 mg) (OVA) antigen is dissolved in 1.2 ml of a solution of 1.7 N citric acid/1% gum acacia, and 0.3 ml of the cholera toxin solution is added.

The carrier solution and the OVA antigen/CT adjuvant solution are warmed to 40°C and mixed together. The sample has a carrier concentration of 75 mg/mL, an OVA antigen concentration of 1 mg/mL, and an CT adjuvant  
15 concentration of 100 µg/mL.

### EXAMPLE 21

#### ANTIGEN *IN VIVO* EXPERIMENTS IN MICE

20 Fasted mice are anesthetized with Ketamine, and administered, by oral gavage, a dose of a composition prepared according to the method of Example 20, containing 100 µg OVA, 10 µg CT, and 7.5 mg of carrier.

Intestinal secretions were collected on days 18, 32, 46, and 67 after dosing with the antigen/adjuvant/carrier composition. The mice were dosed with a hypertonic solution prior to collection of the secretion samples. The  
25 secretions are then placed in a solution containing protease inhibitors. The

resultant solution is cleared by centrifugation and is assayed for total and OVA-specific IgA's using the ELISA procedure described in Example 15. The OVA-specific IgA titer is calculated from the results.

## 5 EXAMPLE 22

### IMMUNIZATION OF CHICKENS

A solution containing formalin-inactivated Infectious Bursal Disease Virus (IBDV) (Maine Biological Laboratories, Winslowe, Maine) was prepared by diluting a buffered solution of IBDV ( $2.5 \times 10^8$  TCID<sub>50</sub>/mL) to 1/10 of the original  
10 concentration ( $2.5 \times 10^8$  TCID<sub>50</sub>/mL).

A mixture of five sulfonated amino acids (2.0 g) prepared according to the method of Example 11 and sodium 2-cyclohexylbutyrate (8.0 g) were dissolved in 50 mL of the IBDV solution prepared above. 1 mL of a 0.5 mg/mL solution of cholera toxin  $\beta$ -subunit (CTB) and 0.25 mL of a 0.1 mg/mL solution  
15 of cholera holotoxin (CT) was added, and the resultant solution (solution 1) was warmed to 40°C and incubated for 10 minutes.

50 mL of 1.7 N citric acid/1% gum acacia/2%  $\beta$ -cyclodextrin solution (solution 2) was warmed to 40° C.

Solutions 1 and 2 were mixed to provide a suspension of  
20 IBDV/CTB/CT containing microspheres.

Nineteen chickens were each dosed, by oral gavage, with 2 ml per bird of the microspheres suspension (prepared daily) on three consecutive days. Each daily dose contained  $2.5 \times 10^8$  TCID<sub>50</sub>/mL of IBDV, 10  $\mu$ g of CTB, and 0.5  $\mu$ g of CT.

After four weeks, each bird immunized as above and twenty-four unimmunized birds were challenged via intraocular administration of live IBDV. Four days after challenge the immunized birds, the unimmunized birds, and birds that were unchallenged and unimmunized were sacrificed, and their bursae were removed. Examination for gross bursal lesions and comparison among the three groups of birds revealed that all of the birds that were administered the microsphere suspension (the immunized/challenged birds, 19 of 19) were uninfected by IBDV upon challenge, while only 25% of the unimmunized/challenged birds (6 of 24) were uninfected after challenge.

All patents, applications, publications, and test methods cited herein are hereby incorporated by reference.

Many variations of the present invention will suggest themselves to those skilled in the art in light of the above detailed disclosure. All such modifications are within the full intended scope of the appended claims.

**WHAT IS CLAIMED IS:**

- 1                   1.     A composition comprising:
  - 2                             (a)     an antigen;
  - 3                             (b)     an adjuvant;
  - 4                             (c)     at least one carrier comprising a member selected from
  - 5 the groups consisting of:
    - 6                                     (i)    an acylated amino acid or a salt thereof;
    - 7                                     (ii) a poly amino acid comprising at least one acylated
    - 8 amino acid or a salt thereof;
    - 9                                     (iii) a sulfonated amino acid or a salt thereof;
    - 10                                    (iv) a poly amino acid comprising at least one
    - 11 sulfonated amino acid or a salt thereof; or
    - 12                                    (v) any combination thereof.
- 1                   2.     A composition as defined in claim 1, comprising a mixture.
- 1                   3.     A composition as defined in claim 1, comprising a
- 2 microsphere.
- 1                   4.     A composition as defined in claim 1, wherein said antigen
- 2 comprises a peptide.
- 1                   5.     A composition as defined in claim 1, wherein said adjuvant
- 2 comprises a mucosal adjuvant.



1                   6.     A composition as defined in claim 1, wherein said carrier  
2 comprises an acylated amino acid or a salt thereof.

1                   7.     A composition as defined in claim 1, wherein said carrier  
2 comprises a poly amino acid comprising at least one acylated amino acid or a  
3 salt thereof.

1                   8.     A composition as defined in claim 1, wherein said carrier  
2 comprises a sulfonated amino acid or a salt thereof.

1                   9.     A composition as defined in claim 1, wherein said carrier  
2 comprises a poly amino acid comprising at least one sulfonated amino acid or  
3 a salt thereof.

1                   10.    A composition as defined in claim 1, wherein said carrier is  
2 selected from the group consisting of, *N*-cyclohexanoyl arginine; a mixture of  
3 *N*-cyclohexanoyltyrosine and *N*-cyclohexanoylleucine; a mixture of *N*-  
4 phenylsulfonylvaline, *N*-phenylsulfonylleucine, *N*-phenylsulfonylphenylalanine,  
5 *N*-phenylsulfonyllysine, and *N*-phenylsulfonylarginine; and a mixture of *N*-  
6 benzoylvaline, *N*-benzoylleucine, *N*-benzoylphenylalanine, *N*-benzoyllysine, and  
7 *N*-benzoylarginine.

1                   11.    A composition comprising:

2                   (a)    ovalbumin;

3                   (b)    cholera toxin; and

- 4 (c) at least one carrier comprising a member selected from  
5 the groups consisting of:  
6 (i) an acylated amino acid or a salt thereof;  
7 (ii) a poly amino acid comprising at least one  
8 acylated amino acid or a salt thereof;  
9 (iii) a sulfonated amino acid or a salt thereof;  
10 (iv) a poly amino acid comprising at least one  
11 sulfonated amino acid or a salt thereof; or  
12 (v) any combination thereof.

- 1 12. A composition comprising:  
2 (a) Infectious Bursal Disease Virus;  
3 (b) cholera toxin;  
4 (c) cholera toxin  $\beta$ -subunit; and  
5 (d) at least one carrier comprising a member selected from  
6 the groups consisting of:  
7 (i) an acylated amino acid or a salt thereof;  
8 (ii) a poly amino acid comprising at least one acylated  
9 amino acid or a salt thereof;  
10 (iii) a sulfonated amino acid or a salt thereof;  
11 (iv) a poly amino acid comprising at least one  
12 sulfonated amino acid or a salt thereof; or  
13 (v) any combination thereof.

1  
2 13. A composition as defined in claim 12, comprising a  
microsphere.

1  
2 14. A dosage unit form comprising  
3 (A) a composition according to claim 1; and  
4 (B) (a) an excipient,  
5 (b) a diluent,  
6 (c) a disintegrant,  
7 (d) a lubricant,  
8 (e) a plasticizer,  
9 (f) a colorant,  
10 (g) a dosing vehicle, or  
(h) any combination thereof.

1  
2 15. A dosage unit form according to claim 14 comprising a  
tablet, a capsule, or a liquid.

1  
2 16. A method for administering an antigen to an animal, said  
method comprising orally administering a composition as defined in claim 1.

1  
2 17. A method for immunizing chickens, said method comprising  
orally administering a composition as defined in claim 12.

1           18.    A method for preparing a composition as defined in claim 1,  
2   said method comprising mixing an antigen, an adjuvant, and a carrier comprising  
3   a member selected from the group consisting of:

1                   (i) an acylated amino acid or a salt thereof;

2                   (ii) a poly amino acid comprising at least one acylated  
3   amino acid or a salt thereof;

4                   (iii) a sulfonated amino acid or a salt thereof;

5                   (iv) a poly amino acid comprising at least one  
6   sulfonated amino acid or a salt thereof; or

7                   (v) any combination thereof.

1           19.    A method for preparing microspheres, said method  
2   comprising:

3                   (A)    solubilizing, in a solvent, at least one carrier to provide a  
4   carrier solution; and

5                   (B)    contacting said carrier solution with an antigen, an adjuvant,  
6   and a precipitator solution in which said carrier is insoluble;

7                   wherein said carrier comprises a member selected from the groups  
8   consisting of:

9                   (a)    an acylated amino acid or a salt thereof;

10                  (b)    a poly amino acid comprising at least one  
11   acylated amino acid or a salt thereof;

12                  (c)    a sulfonated amino acid or a salt thereof;

13                  (d)    a poly amino acid comprising at least one  
14   sulfonated amino acid or a salt thereof; or

15

(e) any combination thereof.

1           20. A method as defined in claim 19, wherein said carrier  
2 solution has a pH within a first range and said precipitator solution has a pH  
3 within a second range, said first range being different than said second range.

1           21. A composition as defined in claim 12, wherein the carrier  
2 comprises a mixture of *N*-phenylsulfonylvaline, *N*-phenylsulfonylleucine,  
3 *N*-phenylsulfonylphenylalanine, *N*-phenylsulfonyllysine, and *N*-  
4 phenylsulfonylarginine; and a stabilizer.

1           22. A composition as defined in claim 21, wherein said stabilizer  
2 comprises sodium 2-cyclohexylbutyrate.

FIG. 1

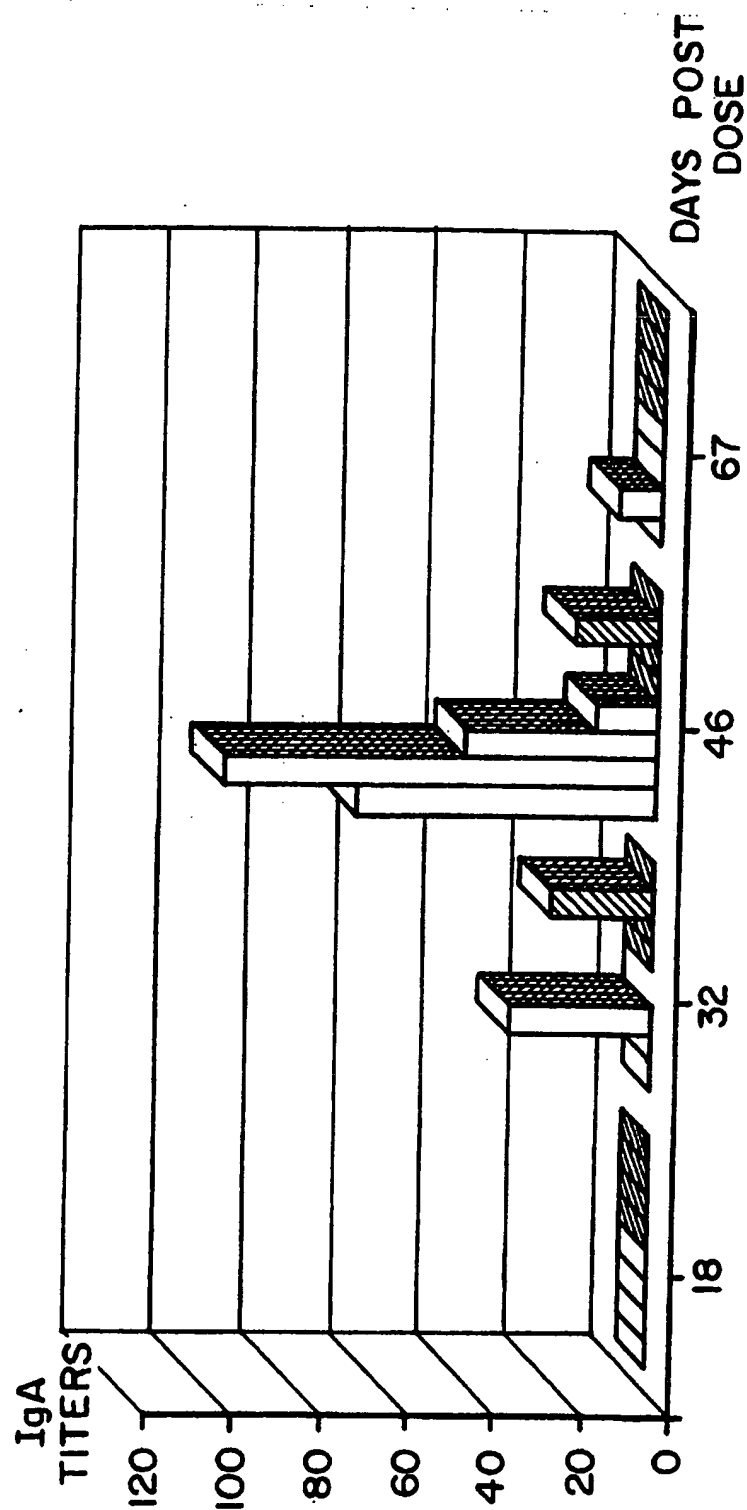
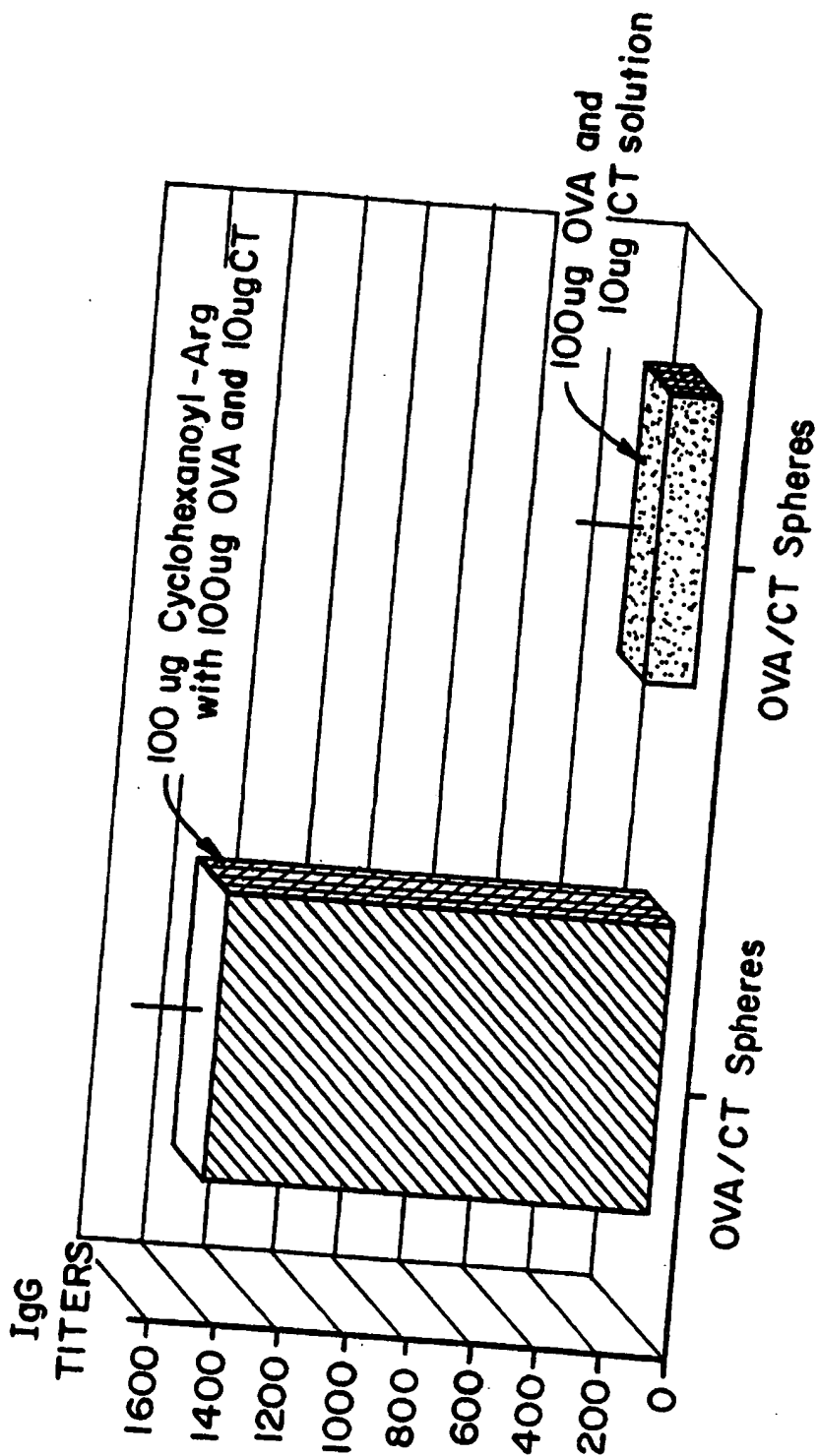
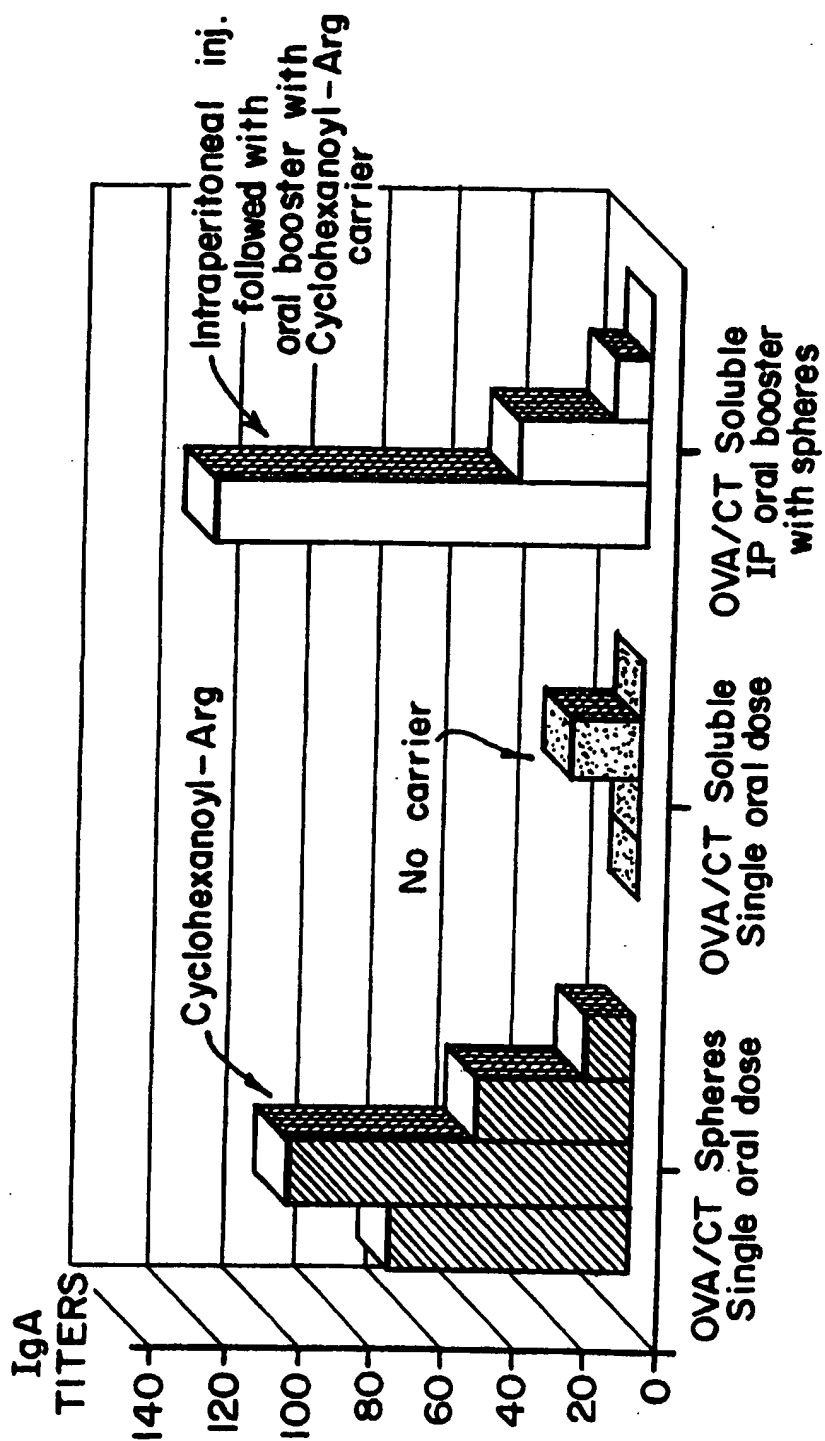


FIG. 2



3/3

FIG. 3





# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/13528

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 9/16, 9/10  
US CL : 424/490

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
U.S. : 424/490, 489, 488, 455, 491

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y, P	US, A, 5,451,410 (MILSTEIN ET AL) 19 September 1995, see entire document.	1-22
Y	US, A, 5,271,961 (MATHIOWITZ ET AL) 21 December 1993, see entire document.	1-22

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

\* Special categories of cited documents:  
 "A" document defining the general state of the art which is not considered to be of particular relevance  
 "E" earlier document published on or after the international filing date  
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
 "O" document referring to an oral disclosure, use, exhibition or other means  
 "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art  
 "A" document member of the same patent family

Date of the actual completion of the international search

13 FEBRUARY 1996

Date of mailing of the international search report

23 FEB 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

WILLIAM BENSTON, JR.

Telephone No. (703) 308-7341